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### Comparison of Cobalt-60 Gamma and X-Ray Technologies GIPA Fact Sheet October, 2014

#### 1. Overview of Cobalt-60 Sealed Source Gamma Technology Application

Cobalt-60 is an intentionally produced radioisotope product manufactured specifically for multiple industrial and medical applications. The largest use of Cobalt-60 is for sterilization of single use sterile disposable medical devices and products, such as surgical gloves, gowns, sutures, catheters, and syringes. The worldwide installed base of Cobalt-60 is approximately 400 million curies, contained in some 180 Cobalt-60 commercial gamma irradiator facilities. Of these, approximately 50 facilities containing approximately 200 million curies are in the United States. Medical device sterilization accounts for approximately 80% of the volume of product commercially processed with gamma radiation from Cobalt-60.

The U.S. market for single use sterile disposable medical devices and products, such as syringes, catheters, surgical gloves, bandages, drapes, gowns and hundreds of others, was estimated at approximately \$79 billion in 2007. More than 40% of these products, equating to 300 million cubic feet per year in the United States alone, are sterilized by gamma irradiation from Cobalt-60.

In addition to sterilizing medical devices, Cobalt-60 is also used to treat other products such as cosmetics, food packaging and some food products such as fruits, spices and ground beef (Appendix A).

### 2. Profile of Cobalt-60 Sealed Source Gamma Technology Used in Medical Device Sterilization

a) Existing Infrastructure in Cobalt-60 Gamma Sterilization:

Within the United States alone there are approximately 50 Cobalt-60 commercial gamma irradiator facilities containing approximately 200 MCi of Cobalt-60. These facilities are owned and operated by medical device manufacturers for use at their manufacturing sites, as well as by contract gamma irradiation suppliers, who primarily service the medical device industry.

This breadth of irradiation infrastructure which has been built up over more than 50 years was and is driven by the confidence in the technology for providing reliable and cost effective terminal sterilization for medical devices.

Each facility includes a large shielding structure in which the sterilization process is carried out together with an associated product staging and storage area specifically designed to operate with the installed Cobalt-60 gamma irradiator. Regardless of the actual model of Cobalt-60 gamma

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irradiator, the ease of movement of non-processed product from one irradiation facility to another has been well established by both industry and regulators. This allows the medical device industry to have a large base of highly reliable service providers to ensure no interruption in sterilization capability.

A network of Cobalt-60 commercial gamma irradiator facilities has been established around the world capable of providing radiation sterilization services. This capability facilitates the movement of the Cobalt-60 gamma sterilization process thus allowing the medical device industry to select the most cost effective geography for medical product manufacturing and distribution. This ease of movement and breadth of infrastructure is key to the medical device manufacturer being able to manage the ever increasing cost of delivering these devices and is one aspect which allows the industry to keep overall costs down.

- b) Long Term Stability and Confidence in Application for Cobalt-60 Sealed Source Gamma Sterilization
- i. Regulatory Confidence in the Technology

For more than fifty years, gamma sterilization has been the technology of choice for companies who have chosen radiation sterilization. Medical device manufacturers and gamma technology suppliers have worked with regulatory bodies to ensure that gamma technology remains one of the safest industries in the world for both product sterilization, and transport of radiation sources. Security of supply, security of Cobalt-60 source transport and security of Cobalt-60 commercial gamma irradiators have all been paramount for the Cobalt-60 gamma industry. Life-cycle source tracking is also used by Cobalt-60 suppliers to ensure control of these sources, to mitigate the risk that they become orphan. At the end of their useful lives, sources may be returned to the manufacturer for recycling, re-use, safe storage and, ultimately, disposal.

ii. Simplicity of System Design and Proven Reliability

The design of Cobalt-60 commercial gamma irradiators worldwide has been based on simple proven and tested principles, resulting in an unparalleled reliability and safety record. When x-ray and gamma technologies are compared, it is important to clarify the assumptions. On average, Cobalt-60 commercial gamma irradiators achieve upwards of 95% operational reliability. This has been proven through many years of actual operations in multiple types of facility designs. The 95% reliability is largely attributed to the predictable decay of Cobalt-60 and its proven physical performance when installed in commercial gamma irradiators.

In the economic analysis provided in this document (Appendix B), this 95% reliability has also been assumed for x-ray facilities. However, this figure should be considered as not truly representative due to the complexity of the x-ray systems and the relative infancy of the technology in commercial sized operations. The reliability of these systems under continuous operation has not been credibly demonstrated. The complex design of x-ray systems means that

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there are more potential electrical and/or mechanical problem areas. The limited availability of xray system replacement parts tends to make maintenance issues more problematic in that a longer time period may be required to effect repairs and return to operation. Typical accelerator based irradiation systems in operation have shown greater than 80% reliability in limited operations, although some experience in the industry has shown that the uptime may be less than 75% for designs that convert to x-ray. Above the basic economic issue related to this reliability, this is an increasingly critical concern for this technology considering the "just in time" manufacturing of medical products and the intolerance for unplanned and/or extended outages.

#### c) Conversion to X-Ray

#### i. Implications for Device Design and Cost of Manufacture

Cobalt-60 gamma technology is currently being used to sterilize approximately 40% of all the single use sterile disposable medical devices manufactured in the United States. At this time, there is no medical product being sterilized using x-rays in the United States. The validation of this process requires extensive product material compatibility analysis, including the current and long term impact on structural and mechanical properties. Any regulated or prescribed change to use an alternate sterilization technology such as x-ray will create a significant cost to medical device manufacturers in the areas of device performance related to potential material change and associated regulatory submissions and approvals.

The cost and time related to these changes would be variable depending on the device selected; however, it would likely be in the hundreds of thousands of dollars for each device impacted and exceed one year of elapsed time for all associated work and approvals.

In a situation where a change to an alternate sterilization technology such as x-ray is not driven by product or market need, many medical device manufacturers may elect to not incur the added cost and liability of device change and choose an alternate approach. Device manufacturers could choose to move off shore where gamma technology is not restricted. This scenario would create a negative impact on the United States manufacturing environment.

#### ii. Cost of Building New Infrastructure

A movement to a different technology for radiation sterilization such as high energy x-ray will result in a considerable unwarranted cost and added complication to the medical device industry. This will be in the form of the closure and decommissioning of existing Cobalt-60 commercial gamma facilities, the construction of new facilities and transfer of the sterilization process to the alternate locations.

Based on the current extent and processing capability of existing Cobalt-60 commercial gamma sterilization facilities in the United States, the replacement cost of the existing facilities with new technology, if mandated, can be conservatively estimated at US\$20M each when including all

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building, site and equipment cost. This assumes that the new technology is equivalent in capital cost to a similar capacity Cobalt-60 commercial gamma facility. This assumption, if applied to x-ray would, very likely, not hold true as the cost of producing high energy x-rays on a commercial scale would require complex equipment and significant electrical infrastructure. Regardless, if the costs were similar, the value of the infrastructure replacement for 50 such facilities would likely exceed US\$1B.

In this economic comparison of an x-ray system with a gamma irradiator, it is possible to initially load the Cobalt-60 gamma irradiator with lower Cobalt-60 activity than the full design capacity of the irradiator. For example, an irradiator with a design capacity of 3 MCi can have an initial loading of one-third or less than the design limit, which provides for lower capital cost at the beginning of operations when the product volume would be expected to be lowest, thus allowing efficient economic scaling to occur over the life cycle of the facility. This feature of Cobalt-60 gamma technology allows the owner to manage capital cost more to need than to the technology restrictions. In contrast, the maximum throughput capacity, and associated capital cost, of an accelerator-based x-ray irradiator is generally installed at the beginning of the facility life, which makes it a business necessity to rapidly increase the product volume being processed, reaching the maximum potential volume capacity in a short time in order to maximize the economic benefit of the facility.

An added cost which must be considered in an assumption of mandated transfer of technology is the cost of decommissioning of the existing sites and removal and disposition of the installed Cobalt-60 sources. Current processing and disposition sites are in place to deal with the "end of useful life" removal, however, an accelerated schedule would cause extensive infrastructure cost to be spent on this area.

Given the amount of electricity used by x-ray technology and the current contribution of energy production to greenhouse gas emissions, it is important to consider the impact of conversion on the environment. Replacing the U.S. installed capacity of gamma with x-ray using the conversion of 120 kW per MCi with 7200 hours per year of operation, and average U.S. CO2 emissions of 1.367 lbs/kWh yields an annual increase in emissions of 237 M lbs.

A final consideration is that the infrastructure required to support x-ray, namely reliable, costeffective electricity, is not available globally (this is particularly the case in emerging markets), limiting the broader applicability of the technology.

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#### 3. Security of Cobalt-60 Sealed Sources and Irradiators

a) Cobalt-60 Irradiator Security

Safe and secure management of Cobalt-60 commercial irradiators and sources have been a primary requirement for the irradiation industry for over five decades. These requirements have been created by closely working with regulatory bodies such as the United States Nuclear Regulatory Commission (US NRC) and the United States Department of Transportation (US DOT) to establish standards overseeing the production, handling, transport, use and life-cycle management of Cobalt-60 radioactive sources.

A Cobalt-60 commercial gamma irradiator typically requires 1 to 5 million curies of Cobalt-60 to provide the radiation levels necessary to process large volumes of product for sterilization purposes.

Because of these high activity levels, irradiators provide an aspect of self-protection against malicious intent, as the radiation field strength is sufficient to give a fatal dose in a period of few minutes of exposure. Designed with substantial radiation shielding, typically composed of thick concrete walls, Cobalt-60 commercial irradiators utilize a series of interlocks and access control restrictions to prevent unauthorized access to the source area. Employees allowed to enter the source chamber are required to be trained and certified prior to granting access entry privileges.

As a result of the tragic events of September 11, 2001, Cobalt-60 commercial gamma irradiator facilities as well as other industries utilizing radioisotopes of various types were placed on heightened alert by a series of Safeguard and Threat Advisories designed to strengthen capabilities and readiness to respond to potential terrorist threats. This was soon followed by support for the United States to meet the newly revised International Atomic Energy Agency (IAEA) guidelines. The US NRC developed and instituted specific Compensatory Measures to further ensure the safety and security of the commercial Cobalt-60 gamma irradiator facilities in the United States. Further, additional requirements have been implemented over the years, including the requirements contained in Title 10 of the Code of Federal Regulations Part 37, which became mandatory for NRC licensees in March 2014. Security actions have been embraced and adopted by the industrial Cobalt-60 irradiation community to achieve the goal of assuring that authorized users have adequate security and controls for radioactive materials to enhance deterrence, detection, and defense in response to threats, which includes;

- coordinating with law enforcement agencies in response to threats,
- requiring enhanced background checks and screening of employees,
- fingerprinting authorized irradiator operators and other persons who may be granted unescorted access to high security areas
- restricted access to the areas where radioactive materials are used, stored, or transported,
- designating control entrance points for employees, visitors and contractors,
- issuing all employees with identification badges,

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- assigning escorts to visitors and contractors,
- security barriers to discourage access to facilities and high-risk areas
- security plans and procedures to deter, detect, assess and respond to unauthorized attempts to access risk-significant sources
- integrating and coordinating response plans between licensees and local law enforcement
- significant additional controls in shipment of Cobalt-60 as noted in c) (below)
- providing employee training, requiring notification of any unusual activity, behavior, or inquiries regarding the security systems or operational information, and reporting any unusual vehicles not expected at the facilities,
- instituting enhanced and supplemental access controls and interlocks to detect unauthorized intrusions with notification to pertinent authorities,
- controlling special tools and shipping containers for movement of Cobalt-60,
- providing full life cycle management and tracking of Cobalt-60 sources in a centralized database,
- implementing stricter import and export regulations, licensing, and controls.

b) Security through Source Life Cycle Management Capability

Continued security against improper use of Cobalt-60 commercial gamma irradiator sources, requires that means are provided for controlled, safe and effective life-cycle management of the radioactive material at the end of its useful life, typically 20 years or more after initial installation. Cobalt-60 source suppliers offer such services for sources that have decayed to levels below that which is useful in commercial Cobalt-60 gamma irradiators.

In order to forestall the potential abandonment of irradiator sources, the IAEA Code of Conduct institutes internationally a requirement that the US NRC and Agreement States have imposed for many years. Companies operating commercial Cobalt-60 gamma irradiators are required to provide financial surety instruments that would pay the state or federal government costs for decommissioning the irradiator facility and disposition of the sources in it. Historically, when commercial Cobalt-60 gamma irradiators have been closed and decommissioned, the sources have been transferred to other licensed irradiator facilities, which have easily accommodated the sources from the closed facility.

#### c) Cobalt-60 Transportation Security

Cobalt-60 has been safely and securely transported for decades. Cumulatively, over 1 billion curies (approximately 100,000 sources) of Cobalt-60 have been transported without any intransit accident with serious human health, economic or environmental consequences attributable to the radioactive nature of the goods. Safety of these radioactive material shipments is supported by the design and transport specifications of the shipping containers, which must be specifically evaluated and licensed by a national competent authority following guidelines established by the IAEA. These guidelines include multiple physical tests which the container must undergo to prove that that it is able to maintain its structural integrity under severe accident scenarios. The

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results of these tests are documented in a Safety Analysis Report which must pass competent authority analysis before a licence is issued.

Security of irradiator sources in transportation is enhanced by the inherent difficulty in moving containers weighing many tons and requiring special lifting provisions. Further, requirements established by regulators in the United States require the shipper and carriers of Cobalt-60 to have established security plans in place.

In addition, regulatory oversight of transportation includes specific requirements for companies carrying these shipping containers, including required training for safety, security, and specific handling precautions, fingerprinting and background investigation for drivers or other persons allowed unescorted access to the materials in transportation, and specific controls on shipments from the point of origin to final destination, including, but not limited to ;

- coordination of the shipment of a highway route controlled quantity (>27 kCi) begins weeks prior to actual shipment with confidential notification and established route plans to federal regulators and State agencies,
- notifications to regulators prior to, during, and upon completion of shipment,
- regular communication between the two drivers and both the shipper and the State Police during transit, to coordinate times for transit in a State and to coordinate escorts if required
- CVSA Level VI vehicle inspections conducted by specially trained and certified State Police officers at the point of entry into the U.S.,
- GPS tracking systems to maintain information on vehicles throughout the shipment.
- Multiple means of communication between truck and external contacts

#### d) Ongoing Industry Support

The gamma-processing industry is supportive of regulatory initiatives that protect Cobalt-60 sources from malicious use, although it is believed these initiatives need to be logical, balanced, and harmonized in a manner that acts in the highest beneficial interest of a global society. The users for this purpose, regardless of the security or risk classification systems used, agree these sources necessitate the highest level of protection to prevent unauthorized access and acquisition.

Through the design of commercial Cobalt-60 gamma irradiation facilities, stringent control over the shipping of Cobalt-60 sources and detailed safety and security plans, gamma irradiator owners have implemented measures to ensure Cobalt-60 gamma irradiation continues to be safe and effective.

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#### Appendix A Applications Using Co-60 Gamma Irradiation

The following list highlights products that are currently processed using gamma irradiation using Cobalt-60. It is not an exhaustive list but is intended to highlight the diversity of the products that are processed using the technology.

#### (1) Medical Products

#### **Disposable Devices**

Surgical drapes, gowns, gloves, gauze, surgical dressings, specimen containers, sterile clean-room garments.

#### **Single Use Devices**

Other disposable, single patient use products include: syringes (pre-filled and un-filled, and insulin, epidural, spinal, dental and veterinary) needles, blood collection tubes, intravenous sets, parenteral sets, HIV and other blood assay testing plates, collection swabs, ophthalmic solutions, oxygenators, cannulas, catheters, dialyzers, custom kits, endotherapy devices for gynecologic, ophthalmic, general, or plastic surgery.

#### **Implantable Medical Devices**

Implantable medical devices using gamma processing include among others: Orthopedic joint replacements including knees, hips, shoulders, vertebrae and other joints.

#### (2) Food Safety

A growing range of food products such as meat, poultry, seafood and spices are processed in order to prevent illnesses resulting from contamination with microorganisms such as *E.Coli* and *Salmonella*. Food packaging is also treated with irradiation.

#### (3) Phytosanitary

Food such as exotic fruit is treated to eliminate pests prior to export to other countries, in order to protect domestic crops in the importing country from infestation. Sterile Insect Technique (SIT) uses irradiation to reduce or eliminate the population of specific pests in fruits or other agriculture growing regions.

#### (4) Materials Modification

Polymers are irradiated in order to strengthen chemical bonds through a process called crosslinking. This has the effect of making the polymer stronger, tougher and more resistant to heat. Under different conditions, polymers can also be irradiated to weaken chemical bonds, usually in preparation for further processing.

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#### (5) Consumer Products

Cosmetics and other consumer products, typically those made with natural ingredients (e.g. dog chews made from pigs' ears), are irradiated in order to reduce bacteria. Equipment necessary for drug discovery and related applications that needs to be sterile such as labware (i.e. plates, bottles, tubes, flasks, filtration units, etc.). Also for equipment used in high risk processes such as stem cell research, and in laboratories for the provision of sterile feed for lab animals.

#### (6) Nuclear Reactor Component Testing

Testing in high gamma fields to determine integrity of components and effect of radiation on those components to be used in reactors

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#### Appendix B Comparison of Irradiation Technologies

**Executive Summary** 

Irradiation technology using photon interaction is currently accomplished by commercial gamma irradiators with cobalt-60 sources or x-ray irradiators with an accelerator-based source. The relative efficiency of the two technologies can be compared by evaluating the power utilization of each method in processing the same annual volume throughput of product.

Power utilization in commercial gamma irradiators using Cobalt-60 is a function of the number of gamma photons resulting from radioactive decay and the number of those photons that interact with biological species resident on products. Consequently, the power supply in this type of system would constitute the radioactive sources themselves, with the operational power cost being replenishment of activity lost by radioactive decay.

In contrast, an x-ray irradiator system uses electrical power to generate radiation through electron interactions with a target material. Power utilization in such a system would not only incorporate the relative efficiency of generating radiation as a function of the amount of electricity consumed, but also consider the number of photons interacting with resident biological species.

In this context, the power utilization of Cobalt-60 is higher than that of x-ray irradiator technology, which in turn leads to lower operational costs for a commercial Cobalt-60 gamma irradiator than has been observed for x-ray irradiators.

Assuming an annual rate of 5% downtime for both x-ray systems and gamma systems, and a minimum product absorption dose of 25 kGy, the power equivalence ratio on the basis of volumetric throughput is approximately 140kW x-ray per 1 MCi Cobalt-60. This Appendix presents the technical evaluation supporting this comparison.

#### Power Conversion

One comparison that can be made between industrial irradiators using radioactive sources and accelerator sources is the evaluation of processing throughput on the basis of power output equivalence. In the traditional approach, irradiator throughput for an accelerator-based system is compared to gamma irradiators by simple unit conversion of the output of Cobalt-60, taken in mega-curies (MCi), into the same units used to describe power output of the accelerator, given in kilowatts (kW). Given the photon energy of Cobalt-60 emissions, the photon yield per radioactive transformation, and the assumed activity of 1 MCi, the conversion of units shows that 1 MCi of Cobalt-60 gives a power output of 14.8 kW. Since the power output is related to volumetric throughput, this comparison is sometimes used to show that a 45 kW accelerator is approximately equivalent to a gamma irradiator with 3 MCi of Cobalt-60.

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However, the critical factor in comparing power output versus irradiator throughput is not necessarily the total amount emitted, but the amount that is beneficially intercepted by product being processed (i.e. absorbed).

Volumetric throughput values have been published for x-ray systems designed to irradiate full pallets of medical product<sup>1</sup> and for gamma irradiators also designed to irradiate full pallets of medical products.<sup>2</sup> These values are:

X-RAY 25 kGy at 500 kW				GAMMA 25 kGy*			
Throughput (m <sup>3</sup> /hour)			Pallet	Throughput (m <sup>3</sup> /hour-MCi))			
System	$0.1 \text{ g/cm}^3$	$0.2 \text{ g/cm}^3$	$0.3 \text{ g/cm}^3$	System	$0.1 \text{ g/cm}^3$	$0.2 \text{ g/cm}^3$	$0.3 \text{ g/cm}^3$
Palletron	9.0	8.0	7.0	Parallel	4.0	3.2	2.4
4-Pallet	14.0	11.0	9.0	7-Position	2.4	2.2	2.0
				8-Position	3.2	2.6	2.2

\*Published data is for 20 kGy and has been normalized to 25 kGy for direct comparison purposes.

Assuming an annual rate of 5% downtime for both x-ray systems and gamma systems, and normalizing the published values to 25 kGy, the power equivalence ratio on the basis of volumetric throughput is approximately 140 kW per 1 MCi Cobalt-60, with some slight variation dependent on which set of values are compared directly.

Note that the estimated throughput for an x-ray system operating at 7.5 MeV is greater than that of a system operating at 5 MeV, on which the above values are based. Published theoretical studies<sup>3</sup> have estimated the increase in photon yield as 60% higher for 7.5 MeV versus 5 MeV.

Assuming that this increase in photon yield translated into a direct increase in throughput, the power equivalence ratio on the basis of volumetric throughput at this energy is approximately 90 kW per 1 MCi Cobalt-60.

<sup>&</sup>lt;sup>1</sup> Frederic Stichelbaut and Marshall Cleland, "High-Performance X-Ray Systems for Radiation Processing," presentation to National Academy of Sciences Radiation Source Use and Replacement Committee, December 8, 2006

<sup>&</sup>lt;sup>2</sup> http://www.nordion.com/documents/elibrary/sterilization/pallet-irradiators/Pallet\_Brochure.pdf

<sup>&</sup>lt;sup>3</sup> J. Meissner, M. Abs, M. R. Cleland, A.S. Herer, Y. Jongen, F. Kuntz, A. Strasser, "X-ray Treatment at 5 MeV and Above," Radiation Physics and Chemistry, 57 (2000) 647±651

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#### **Operational Cost Comparison**

Making an operational cost estimate for x-ray systems for comparison to gamma irradiators necessarily entails an assumption as to conversion efficiency from the input power requirements of the accelerator to the final power output as x-rays for product irradiation. Assuming that a 5 MeV accelerator is used to produce x-rays, the conversion efficiency is most likely approximately 8%, considering power losses in conversion of radiofrequency power to electron acceleration and in the x-ray target with power losses from those accelerated electrons during bremsstrahlung.<sup>3</sup> Taking the value stated above for volumetric throughput equivalence of x-ray power to Cobalt-60 activity, the total power input requirements for the x-ray system to achieve the same volume throughput as 1 MCi Cobalt-60 would be 2,800 kW, on the basis of comparing the pallet systems as referenced in the preceding section.

Different source-product configurations will have some differences in this ratio. Again assuming operations at only 5% downtime, the power consumption in this accelerator would be approximately 23,300,000 kW-h.

With the greater photon yield from 7.5 MeV x-ray generation as compared to 5 MeV, the electricity operational cost for the higher energy system would be lower as a function of volumetric throughput. This again assumes that the greater photon yield translates directly into increased throughput by the same percentage.

For comparison purposes to a gamma irradiator operating with 1 MCi cobalt-60, the annual loss in activity at that level would be 123 kCi, which would need to be replenished. The assumed cost of Cobalt-60 is generally \$2.50 per Curie, with that cost covering installation in the irradiator as well as acquisition of the radioisotope. The following table provides a summary comparison of the annual operating cost of these systems.

X-I	GAMMA		
Electricity Cost	zation Cost		
(US\$/kW-h)	5 MeV	7.5 MeV	12.3% decay per year
0.09	\$1,365,000	\$540,000	123,244 Ci/y decay, 1 MCi
0.10	\$1,515,000	\$600,000	\$2.00 US\$/Ci
0.11	\$1,670,000	\$660,000	\$250,000 per year
0.12	\$1,820,000	\$720,000	

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